

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Vincent J. MCGAHAY et al.

: Art Unit: 2823

Serial No.: 09/879,530

: Examiner: J. Maldonado

Filed: Herewith

: Atty Docket: 20136/00344

Titled: METHOD FOR IMPROVING
ADHESIVE TO COPPER:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

DECLARATION UNDER 37 C.F.R. 1.131

Vincent J. McGahay, Thomas H. Ivers, Joyce C. Liu and Henry A. Nye III declare that they are the coinventors of the subject matter which is claimed in U.S. Application Serial No. 09/849,530, which was filed in the United States Patent and Trademark Office on May 7, 2001, which in turn, is a Divisional application of Serial No. 09/231,618, filed in the United States Patent and Trademark Office on January 14, 1999; and that the inventions disclosed and claimed were conceived by us and were reduced to practice to by us and/or under our direction and/or supervision prior to January 4, 1999, which is prior to the filing date of U.S. Patent Application Serial No. 09/225,063, that matured into U.S. Patent 6,143,657, and further states as follows:

Prior to January 4, 1999, inventions disclosed and claimed in the above U.S. Patent application were conceived by us and/or in the United States and were reduced to practice in the United States by us and/or under our direction and/or supervision.

Especially, a semiconductor structure was fabricated by providing a germanium-containing layer of at least one member selected from the group consisting of copper germanide, germanium oxide, germanium nitride and combinations thereof onto at least one surface of a copper member; and providing a layer of a material that is poorly adherent to copper on the germanium-containing layer.

This is further evidenced by Exhibit A (attached herein) which is a true copy with dates being redacted, of IBM Invention Disclosure F1898-0100; Exhibit B (attached hereto) which is a true copy with dates being redacted of an internal paper disclosure; Exhibit C (attached hereto) which is a true copy with dates being redacted of Progress Report 2Q98; and Exhibit D (attached hereto) which is a true copy of dates being redacted, of Status report: 12T12E85L1, 02. All of the dates that had been redacted from the above Exhibits are prior to January 4, 1999.

The undersigned further declares that all statements made herein of our own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

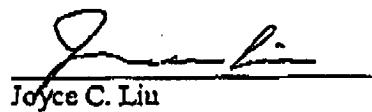
Date:


Vincent J. McGahay

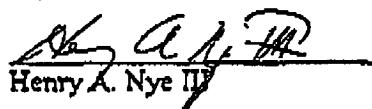
Date:


Thomas H. Ivers

Date:


Joyce C. Liu

Date:


Henry A. Nye III

IBM Confidential

Invention Disclosure FI898-0100

Method for improving Si₃N₄ to Cu adhesion by copper germanide formation

Page 1

a. of Invention (Short & Descriptive)

Method for improving Si₃N₄ to Cu adhesion by copper germanide formation

Disclosure No. 1898-0100	Functional Manager B. DAVARI	Receiving Date		Receiving Time 21:54:36		
Int Attorney P. ABATE	Evaluator J. RYAN - EMI			Evaluation Area 81		
Inventor Gahay, Vincent J		Emp.Serial 291355	Div./Dept. 29/EKXA	Bldg. or Zip Z/E40	Location EAST FISHKILL	Tel.Number 532-2055
a Code 81	Electronic Address MCGAHAY at IBMUSM08	Manager's Name R. Goldblatt		Manager's Electronic Address RDG at IBMUSM01		
Inventor Henry A		Emp.Serial 480061	Div./Dept. 29/EKXA	Bldg. or Zip Z/E40	Location EAST FISHKILL	Tel.Number 534-0989
a Code 81	Electronic Address NYEHA at IBMUSM01	Manager's Name R. Goldblatt		Manager's Electronic Address RDG at IBMUSM01		
Inventor Thomas H		Emp.Serial 076441	Div./Dept. 29/28QA	Bldg. or Zip Z/EMI	Location EAST FISHKILL	Tel.Number 532-2231
a Code 48	Electronic Address IVERST at IBMUSM01	Manager's Name A. Sekiguchi		Manager's Electronic Address SEKIGUCHI at IBMUSM01		

b. 1. Critical Dates Information

Is invention workable:	10/00/97
cd or Planned for product:	N
If so, Product Name?	
Release?	
Announce Date?	
ublic Demonstration or Use:	N
If so, When?	
Where?	
closed to Non-IBMer:	N
If so, When?	
Where?	
CDA in place?	
in Manufacturing:	N
If so, When?	
Where?	
Product Name?	

EXHIBIT A

~~SECRET~~

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Invention Disclosure

Method for Improving Si₃N₄ to Cu adhesion by copper germanide formation

Page 2 of 2

roblem

Silicon nitride is used as a passivation layer and as a copper diffusion barrier for copper-based interconnections in integrated circuits. Silicon nitride does not have strong adhesion to copper, however, and the nitride-to-copper interface is susceptible to delamination, especially under conditions of mechanical loading. Examples of instances where mechanical loading can lead to delamination include chemical-mechanical polishing steps during wafer processing, chip pull such as used in substrate rework, and removal of chips after burn-in from the temporary attach substrate.

soluti n

It is proposed that the nitride-to-copper interface be removed by the formation of copper germanide at the surface of the copper interconnects. The copper germanide would preferably be formed by a process whereby germane (GeH₄) flows over the wafer at elevated temperature and reacts selectively with the copper wiring to form a germanide film. It is anticipated that nitride-to-copper germanide adhesion will be superior to nitride-to-copper adhesion similar to the adhesion improvement obtained by the formation of copper silicide. Although the selective formation of copper germanide and improved nitride adhesion are yet to be demonstrated, efforts to build the appropriate test structures at IBM are being pursued.

valuation Questions**his pr blem has been solved before, how was it solved?**

The formation of a copper silicide film on copper interconnects by flow of silane (SiH₄) at elevated temperature over a wafer with exposed copper wiring and selective reaction between silane and copper has been verified to eliminate nitride-to-copper adhesion problems. This invention has been patented by Motorola (US patent 5447887).

y is your solution better?

Copper silicides have a relatively high electrical resistivity and may cause an unacceptably large increase in resistance of copper interconnects. Furthermore, copper silicides have been reported to be reactive with atmospheric oxygen and to suffer resistivity increases upon exposure to oxygen. Copper germanide on the other hand has been reported to have a much lower resistivity than copper silicide and is stable with regard to exposure to air.

ough selective formation of copper germanide is the preferred embodiment of this invention, the formation of a patterned copper germanide layer over copper wiring by blanket film deposition of copper germanide followed by conventional photolithographic and reactive ion etch processes is also possible.

outsid e of IBM (competitors) would want to use your solution?

A semiconductor manufacturer employing copper interconnects would be interested in this solution.

Could IBM discover that competitors were using your solution?

If copper germanide could be detected by deconstruction analysis of competitor's products.

IBM Microelectronics**Logic BEOL****Method for Improving Si₃N₄ to Cu Adhesion by Copper Germanide Formation**

V. McGahay, H. Nye, T. Ivers, J. Liu

Problem:

- Si₃N₄ is used as a Cu diffusion barrier and etch stop over every level of Cu metallization in CMOS7S
- adhesion of Si₃N₄ to Cu is marginal and has caused problems in CMOS7S
 - M2 nitride-to-copper delamination during M3 CMP of Cygnus
 - LM nitride pullout at C4 chip pull and chip shear
- improvement in the fundamentally poor nitride-to-copper adhesion is needed
 - surface alloying copper in contact with nitride can improve adhesion
 - alloying step is preferably selective (i.e. requires no masking step)
 - increase in Cu resistivity should be minimal
- selective copper silicide formation has been found to eliminate LM nitride pullouts at C4 stud pull
 - silicide is formed by flowing SiH₄ over exposed copper
- copper silicide has several problems however
 - non-uniformity and non-repeatability of silicide formation has been observed
 - resistivity of Cu₃Si is high (55 $\mu\Omega$ cm vs. ~2.2 for Cu)
 - as silicided resistivity shift is ~15% for LM, 45% for MX
 - subsequent thermal excursions of silicided copper results in additional resistivity shifts (~2x)
 - selective silicidation of copper has been patented by Motorola

Solution:

- selective copper germanide formation to improve nitride-to-Cu adhesion
 - germanide formed by flowing GeH₄ over exposed copper
 - IBM held US patent 5420069 (Joshi et al.)
- advantages of copper germanide
 - very uniform and reproducible germanide formation compared to silicide in same reactor
 - relatively low resistivity for Cu₃Ge (~5.5 $\mu\Omega$ cm at RT)
 - good adhesion to oxide (Liou et al., J. App. Phys. 77 (1995) 5443)
 - nitride adhesion to Cu₃Ge needs to be evaluated
 - possible Cu diffusion barrier (Aboelfotoh et al., Phys. Rev. B 44 (1991) 12742)
- disadvantage: resistivity increase upon thermal excursion similar to silicide
 - since resistivity is 1/10 that of silicide, may be able to tolerate the resistivity increase
 - possible remedies
 - oxidation of thin Cu₃Ge to bind Ge into GeO₂
 - GeO₂ acts as an oxidation barrier for Cu (Liou et al., J. App. Phys. 77 (1995) 5443)
 - Cu₃Ge + Cu₃Si mixture?

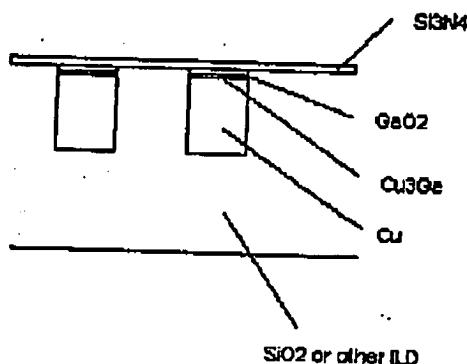
EXHIBIT B

Vincent McGahay

IBM MicroelectronicsLogic BEOL

Example Emb. diment:

1. Form Cu wiring by single or dual damascene processing on a wafer
2. Flow GeH₄ over the wafer to form selectively a thin, continuous layer of Cu₃Ge
3. Expose wafer to an oxidizing environment to convert Ge in Cu₃Ge to GeO₂
4. Deposit nitride cap



Vincent McGahay

PAGE 11/13 * RCV'D AT 2/17/2004 4:11:36 PM [Eastern Standard Time] * SVR:USPTO-EFXRF-1/6 * DNIS:8729306 * CSID:202 293 6229 * DURATION (mm:ss):03:16

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CONNOLLY BOVE LODGE & HUTZ

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202 293 6229 P.12/13

P.02

Vincent McGahay
12:44 PM

To: Charles Davis/Fishkill/IBM@IBMUS
cc:
From: Vincent McGahay/Fishkill/IBM @ IBMUS
Subject: *IBM Confidential: 2Q98 Progress Report

2Q98 Progress Report

BEOL Insulators:

- qualification of Novellus Sequel Hex 408 as backup for 447 is complete for M1 - M4 (30% 7S wip, 40% 8S wip); qualification of remaining levels is in progress

C4:

- Lonestar DD2 open/short hardware was built and delivered for 7S T2 package qual
- Lonestar DD2 MQ-VQ-LM-TV-TD-FV, Sirocco LM-TV-TD-FV, and Opera M5-V5-LM-TV-TD-TV hardware was built and delivered for 7S T1 closure plan
- set up of selective copper germanide capability for potential improvement of nitride adhesion on Hex 447 is in progress

Wirebond:

- Sirius LM (1 lot) and MT (2 lots) hardware was built for G. Walker for electroless bond pad development

CMOS9S:

- Silk was chosen as upfront insulator for CMOS9S by low K taskforce
- performance and cost-of-ownership comparison of several different integration schemes for copper/low K was completed
- the low K integration team was organized for investigation of alternative integration options as well as continued investigation of dual damascene options
 - single damascene etchback scheme was chosen as a learning vehicle for alternative integration since it has several process steps which are common to the other alternatives considered
- hardware has been released for alternative integration builds
 - single damascene etchback mechanical build completed through M2 test
 - dual damascene etchback build at M1/V1 Silk gapfill
 - through resist plate-up build at M1 ARC etch
- several dual damascene integration schemes are under consideration (standard, second hardmask, buried patterned hardmask, bilayer hardmask); an effort to concentrate on standard dual damascene and reduce resource expenditure on alternatives is underway
- degradation of Silk electrical properties under bias/temperature stress on MOS structures which appears to be due to film defects was discovered; additional characterization is in progress
- two lots (3 wafers each) with TEOS and nitride caps, respectively, of Cygnus M1-TV-TD hardware were delivered to reliability engineering for investigation of Silk dielectric reliability in patterned copper structures

EXHIBIT C

FEB-17-2004 16:14

CONNOLLY BOVE LODGE & HUTZ

202 293 6229 P.13/13

Catherine Basa

02:08 PM

To: Henry Nye/Fishkill/IBM@IBMUS, Vincent McGahay/Fishkill/IBM@IBMUS, Thomas Ivers/Fishkill/IBM@IBMUS
 cc:
 From: Catherine Basa/Fishkill/IBM @ IBMUS
 Subject: Status: 12T12E85L1,02
 (4 wafer CuGe split)

Guys,

Since 12T12E85L1,02 (the 4 wafer lot with different CuGe thicknesses) is a child lot, there were some logistical difficulties getting it on the ASTC MCS2 system when it returned from BTV. Debbie Perez persevered, though, and the lot is at gate 840 - TV Final etch. However, in order to overcome the logistical problems, the lot had to be renamed. The new lot name is 12T12E99L1,01.

The history of the lot's names is given below in case it is needed for future reference.

Original Lot Name	12T12E85L1, 02	4 Wafers
BTV Lot Name	XBT310004P, 01	4 Wafers
Current ASTC Lot Name	12T12E99L1, 01	6 Wafers (4 patterned + 2 Polyimide montiors)

Cathy

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EXHIBIT D